

# Maximal Closed Set and Half-Space Separations in Finite Closure Systems

## Classification in Finite Closure Systems

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### Basic Definitions

#### Set system

$(E, \mathcal{F})$  with  $\mathcal{F} \subseteq 2^E$   
• finite if  $|E| < \infty$

#### Closure system

set system  $(E, \mathcal{C})$  with:  
•  $\emptyset, E \in \mathcal{C}$   
•  $A, B \in \mathcal{C} \Rightarrow A \cap B \in \mathcal{C}$

#### Closure operator

function  $c : E \rightarrow E$  with:  
•  $A \subseteq c(A)$   
•  $A \subseteq B \Rightarrow c(A) \subseteq c(B)$   
•  $c(c(A)) = c(A)$

#### Half-space in $(E, \mathcal{C})$

$H \in \mathcal{C}$  with  $H^c \in \mathcal{C}$

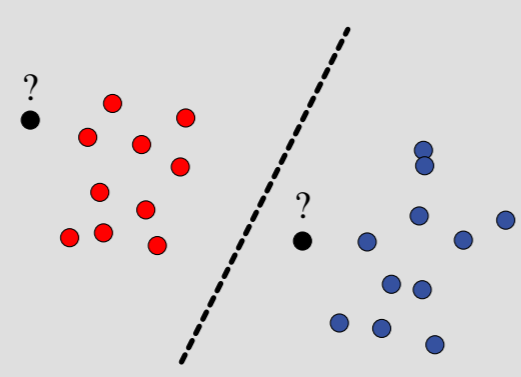
#### Half-space separation of $A, B \subseteq E$ in $(E, \mathcal{C})$

half-space  $H \in \mathcal{C}$  with  $A \subseteq H$  and  $H \cap B = \emptyset$

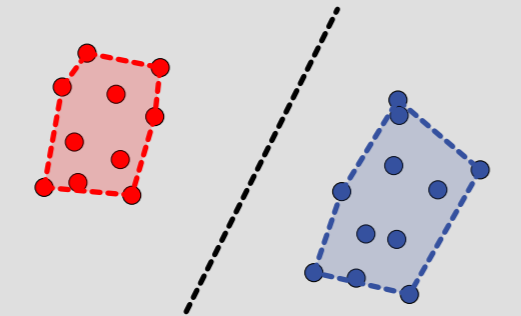
### Problem setting

#### Classical problem: Separation in $\mathbb{R}^d$

**Hyper-Plane Separation Problem:** Given two sets  $A, B \subseteq \mathbb{R}^d$ , find a separating hyper-plane.



**Theorem (Kakutani, 1937):** Two sets in  $\mathbb{R}^d$  are separable by a hyper-plane iff their convex hulls are disjoint.



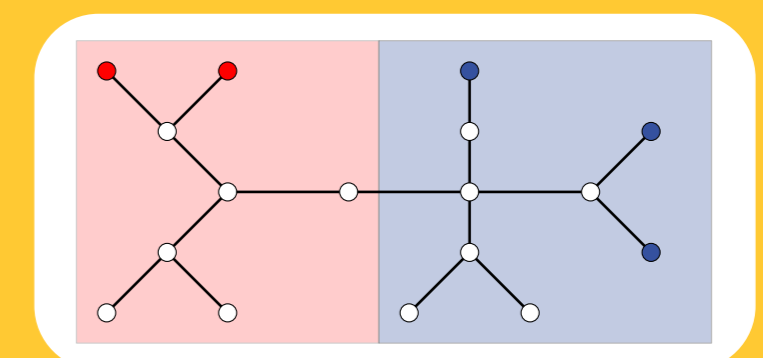
#### Separation in Finite closure systems

**Half-Space Separation Problem:** Given a closure system  $(E, \mathcal{C})$  and sets  $A, B \subseteq E$ , decide if  $A$  and  $B$  are half-space separable in  $(E, \mathcal{C})$ .

#### Motivation:

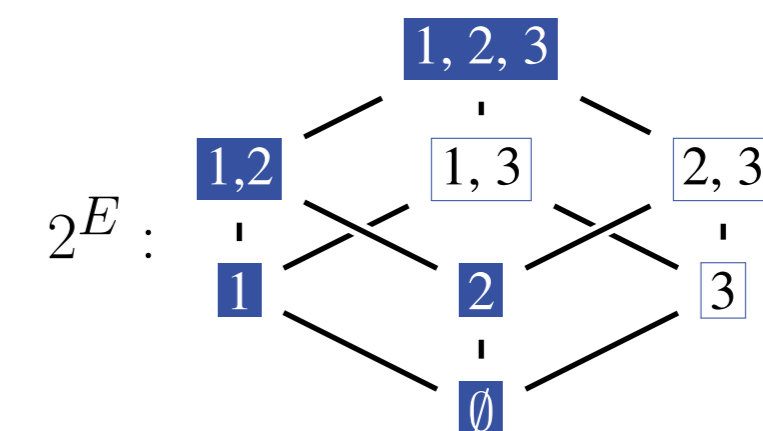
- general concept
- structured input
- interesting examples

e.g. trees



**Problem:** Kakutani's theorem does not hold! Example:

$E = \{1, 2, 3\}$   
 $\mathcal{C} = \{\emptyset, \{1\}, \{2\}, \{1, 2\}, \{1, 2, 3\}\}$



There are **no** half-spaces separating the disjoint closed sets **1** and **2**.

### Results

**Theorem:** The Half-Space Separation Problem is NP-complete.

simplify problem

To overcome the negative result: Two approaches

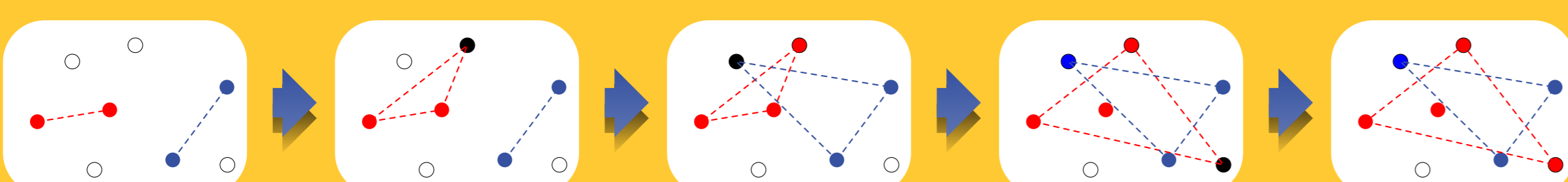
special closure systems

#### Maximal Closed Set Separation Problem

**Maximal Closed Set Separation Problem:** Given a closure system  $(E, \mathcal{C})$  and sets  $A, B \subseteq E$ , find maximal disjoint closed supersets of  $A$  and  $B$ .

- caution: maximal and not maximum

**Solution:** A Simple Greedy Algorithm processing the elements one by one.



**Theorem:** This greedy algorithm solves the Maximal Closed Set Separation problem by calling the closure operator at most  $2|E| - 2$  times.

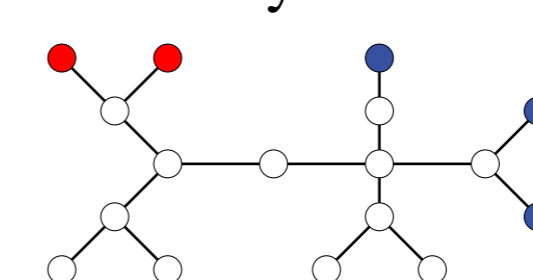
**Theorem:** The greedy algorithm is optimal w.r.t. the number of closure operator calls.

#### Kakutani Closure Systems

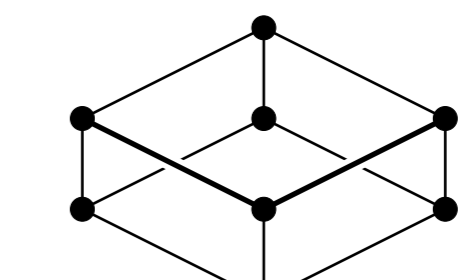
**Definition:** A closure system  $(E, \mathcal{C})$  is Kakutani if any two disjoint closed sets are half-space separable.

**Theorem:** Any algorithm requires in general  $\Omega(2^{|E|/2})$  closure operator calls to decide if a closure system is Kakutani.

Several closure systems are known to be Kakutani:



Trees



Distributive lattices

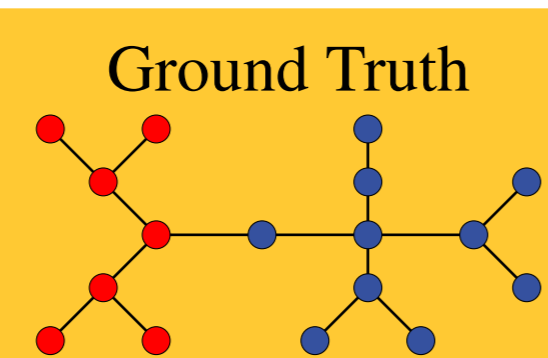
**Theorem:** The greedy algorithm (LHS) provides an algorithmic characterization of Kakutani closure systems.

use algorithm for classification

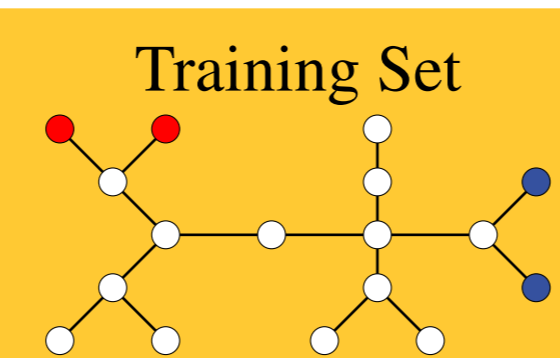
classification results

### Experiments

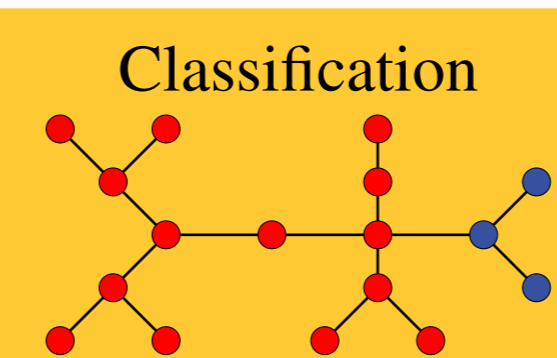
Classification Algorithm for Trees:



take sample



compute maximal set separation



compare with ground truth

